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PATENT  
Attorney Docket No. UM-03662  
TECH CENTER 1600/2900  
SEP 23 2002  
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In re Application of: Marc Peters-Golden *et al.*  
Serial No.: 09/291,656  
Filed: 03/03/99  
Entitled: ADMINISTRATION OF PRODUCTS OF THE 5-LIPOXYGENASE  
METABOLIC PATHWAY TO ENHANCE ANTIMICROBIAL  
DEFENSE

Group No.: 1653  
Examiner: K. Carlson

PRELIMINARY AMENDMENT IN RESPONSE  
TO FINAL OFFICE ACTION MAILED 05/07/02

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Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Dated: September 9, 2002

By: Traci E. Light  
Traci E. Light

Sir or Madam:

Please enter the following on the record in response to the above cited Final Office Action mailed on May 07, 2002. This is a Preliminary Amendment in support of the accompanying Request For Continuing Examination. Also please find a petition for a one month extension of time.

A clean version of the rewritten or added claims with instructions for entry pursuant to 37 C.F.R. § 1.121(c)(1)(i) is included beginning on page two of this communication. A marked-up version of the rewritten claims pursuant to 37 C.F.R. § 1.121(c)(1)(ii) is attached as Appendix I. A clean version of the entire set of pending claims pursuant to 37 C.F.R. § 1.121(c)(3) as they should appear following entry of this amendment is attached as Appendix II.

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PTO/SB/30 (5/2000)

OMB 0657-0031

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/29/00 656
Filing Date	03/23/99
First Named Inventor	Marc Peters Golden
Group Art Unit	1653
Examiner Name	K. Carlson
Attorney Docket Number	UM-03662

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply 09/17/2002 SIZEUDIE1 00000070 09291656
- ii. ☐ Affidavit(s)/Declaration(s) 01 FC:279 370.00
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other 1 month extension of time

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## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 08-1290
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	PETER G. CARROLL	Registration No. (Attorney/Agent)	32,837
Signature		Date	9/9/2002

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	TRACE E. LEIGHT	Date	September 09, 2002
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.